

AUTOMORPHISMS AND COHOMOLOGY

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1. INTRODUCTION

Let $1 \rightarrow H \rightarrow G \rightarrow Q \rightarrow 1$ be an exact sequence of groups. In [3] the following exact sequence was developed for centric extensions, i.e the centralizer of H in G is contained in H ,

$$0 \rightarrow H^1(Q, zH) \xrightarrow{\mu} \text{Aut}(G, H) \xrightarrow{res} N_{\text{Out } H}(\Phi Q)/\Phi Q \xrightarrow{\lambda_E} H^2(Q, zH)$$

where $\text{Aut}(G, H)$ are the automorphisms of G which restrict to an automorphism of H , $\Phi : Q \rightarrow \text{Out } H$ is the outer action determined by the extension, zH is the center of H with Q -action coming from Φ and $N_{\text{Out } H}$ the normalizer.

It is the aim of this paper to generalize the above sequence to arbitrary extensions, show how the above result is derived from the general exact sequence and derive other consequences of the general result including determining solvability of $\text{Aut}(G, H)$.

2. EXTENDING AUTOMORPHISMS

Let $\mathbf{E} : 1 \rightarrow H \xrightarrow{i} G \xrightarrow{\pi} Q \rightarrow 1$ be an exact sequence of groups. Let $\alpha : H \rightarrow H$ and $\beta : Q \rightarrow Q$ be homomorphisms. We are interested in the problem of deciding if there exists a homomorphism $\gamma : G \rightarrow G$ such that the diagram

$$\begin{array}{ccccccc} \mathbf{E} : & 1 & \longrightarrow & H & \xrightarrow{i} & G & \xrightarrow{\pi} & Q & \longrightarrow & 1 \\ & & & \alpha \downarrow & & \gamma \downarrow & & \beta \downarrow & & \\ \mathbf{E} : & 1 & \longrightarrow & H & \xrightarrow{i} & G & \xrightarrow{\pi} & Q & \longrightarrow & 1 \end{array}$$

commutes.

The extension \mathbf{E} gives rise to a homomorphism $\Phi : Q \rightarrow \text{Out}(H)$ where $\Phi(q)$ is the class of conjugation in G of any preimage of q and this determines a well defined action of Q on zH the center of H . We will denote the image of $\varphi \in \text{Aut}(H)$ in $\text{Out}(H)$ by $[\varphi]$. In any calculations we will write the group structure additively in H and G and multiplicatively in Q .

Proposition 2.1. *Suppose $\alpha \in \text{Aut}(H)$ then if γ exists the following commutes.*

$$\begin{array}{ccc} Q & \xrightarrow{\Phi} & \text{Out}(H) \\ \beta \downarrow & & \downarrow c_{[\alpha]} \\ Q & \xrightarrow{\Phi} & \text{Out}(H) \end{array}$$

where $c_{[\alpha]}$ denotes conjugation by $[\alpha]$ mapping $\text{Out}(H) \rightarrow \text{Out}(H)$.

Proof. If $u : Q \rightarrow G$ is a section then $c_{[\alpha]}\Phi(q) = [\alpha \circ c_{uq} \circ \alpha^{-1}]$. If $n \in H$ then $\alpha \circ c_{uq} \circ \alpha^{-1}(n) = \alpha[un + \alpha^{-1} - un]$ but α is the restriction of γ and so this is $\gamma[un + \alpha^{-1} - un] = \gamma(un) + n - \gamma(un) = c_{\gamma(un)}(n)$. Therefore $c_{[\alpha]}\Phi(q) = [c_{\gamma(un)}]$. But $\pi(\gamma(un)) = \beta(\pi(un)) = \beta q$ and so $[c_{\gamma(un)}] = \Phi \circ \beta(q)$. \square

We shall now assume α as an automorphism and that the diagram

$$\begin{array}{ccc} Q & \xrightarrow{\Phi} & \text{Out}(H) \\ \beta \downarrow & & \downarrow c_{[\alpha]} \\ Q & \xrightarrow{\Phi} & \text{Out}(H) \end{array}$$

commutes.

3. PULLBACKS AND PUSHOUTS OF EXTENSIONS

Let $\mathcal{X}_\Phi(Q, H)$ equivalence classes of extensions $\mathbf{E} : 1 \rightarrow H \xrightarrow{i} G \xrightarrow{\pi} Q \rightarrow 1$ with associated homomorphism $Q \rightarrow \text{Out}(H)$ equal to Φ .

If $\beta : Q' \rightarrow Q$ then we have a pullback extension and maps

$$\begin{array}{ccccccc} \beta^*\mathbf{E} : & 1 & \longrightarrow & H & \xrightarrow{i'} & X & \xrightarrow{\pi'} & Q' & \longrightarrow & 1 \\ & & & \parallel & & \gamma \downarrow & & \beta \downarrow & & \\ \mathbf{E} : & 1 & \longrightarrow & H & \xrightarrow{i} & G & \xrightarrow{\pi} & Q & \longrightarrow & 1 \end{array}$$

where X is the pulback of π and β .

It is quite easy to see that if $\mathbf{E} \equiv \mathbf{E}'$ then $\beta^*\mathbf{E} \equiv \beta^*\mathbf{E}'$.

Proposition 3.1. $\Phi' = \Phi\beta : Q' \rightarrow \text{Out}(H)$ and hence $\beta^* : \mathcal{X}_\Phi(Q, H) \rightarrow \mathcal{X}_{\Phi\beta}(Q', H)$.

Proof. First assume β is a monomorphism. If $u : Q \rightarrow G$ is a section, then $u' = u|_{Q'} : Q' \rightarrow G' \subseteq G$ is a section and $\Phi'(q') = [c_{u'(q')}] = [c_{u(q')}] = \Phi(q')$. If β is an epimorphism and $u' : Q' \rightarrow X$ is a section (all sections have $u'(1) = 0$) then define $u : Q \rightarrow G$ as follows. Choose a normalized section $s : Q \rightarrow Q'$ and define $u(q) = \gamma \circ u'(sq)$. Note $\pi u(q) = \beta \pi' u'(sq) = q$. For $q' \in Q'$, $\Phi'(q') = [c_{u'(q')}]$ while $\Phi\beta(q') = [c_{u(\beta q')}] = [c_{\gamma(u's\beta q)}]$. If $\gamma(u'q')$ and $\gamma(u's\beta q)$ are both preimages of $\beta q'$ and so $[c_{\gamma(u'q')}] = [c_{\gamma(u's\beta q)}]$. But since $\gamma|_H = \text{Id}$, $c_{\gamma(u'q')} = c_{u'q'} : H \rightarrow H$ for all $q' \in Q'$. \square

If $\mathbf{E} : 1 \rightarrow H \xrightarrow{i} G \xrightarrow{\pi} Q \rightarrow 1$ with homomorphism $\Phi : Q \rightarrow \text{Out}(H)$ then by choosing a (normalized) section $u : Q \rightarrow H$, define $\varphi(q) = c_{uq}$ and $f : Q \times Q \rightarrow H$ by

$$u(q) + u(q') = f(q, q') + u(qq')$$

one sees

- (1) $[\varphi(q)] = \Phi(q)$
- (2) $\varphi(q)\varphi(q') = c_{f(q, q')}\varphi(qq')$,
- (3) $\varphi(q)f(q', q'') + f(q, q'q'') = f(q, q') + f(qq', q'')$,

and that the extension \mathbf{E} is equivalent to $\mathbf{E}_{f, \varphi} : 1 \rightarrow H \xrightarrow{i} E_{f, \varphi} \xrightarrow{\pi} Q \rightarrow 1$ where $E_{f, \varphi} = H \times Q$ with multiplication $(n, q) + (n', q') = (n + \varphi(q)n' + f(q, q'), qq')$ and obvious maps i and π (and section).

Proposition 3.2. If $\mathbf{E} \equiv \mathbf{E}_{f, \varphi}$ then $\beta^*\mathbf{E} \equiv \mathbf{E}_{f\beta, \varphi\beta} = \mathbf{E}_{f(\beta \times \beta), \varphi\beta}$.

Proof. If $u : Q \rightarrow G$ is a normalized section for \mathbf{E} such that $\varphi(q) = c_{u(q)}$, define $u' : Q \rightarrow X$ by $u'(q) = (u(\beta q), q) \in X \subseteq G \times Q$. u' is a normalized section and $c_{u'q}(n, 1) = (\varphi(\beta q)n, 1)$ and $u'q + u'q' - u'(qq') = (f(\beta q, \beta q'), 1)$. \square

We would like the notion of pushout corresponding to pullback but these do not exist in general in the category of groups.

Now suppose $\alpha : H \rightarrow H$ is an automorphism and $\mathbf{E} \equiv \mathbf{E}_{f, \varphi}$. Define $\alpha_*\mathbf{E}_{f, \varphi} = \mathbf{E}_{f_\alpha, \varphi_\alpha}$ where $f_\alpha = \alpha f$ and $\varphi_\alpha = c_\alpha \varphi$, that is $\varphi_\alpha(q) = \alpha \varphi(q) \alpha^{-1}$. It is easily seen that f_α and φ_α satisfy (2) and (3) and that $\Phi_\alpha = c_{[\alpha]} \Phi : Q \rightarrow \text{Out}(H)$. In order to show we may define $\alpha_*\mathbf{E}$ as $\alpha_*\mathbf{E}_{f, \varphi}$ we need to show that if $\mathbf{E}_{f, \varphi} \equiv \mathbf{E}_{f', \varphi'}$ then $\mathbf{E}_{f_\alpha, \varphi_\alpha} \equiv \mathbf{E}_{f'_\alpha, \varphi'_\alpha}$.

We will use the following convention. If $\mathbf{E} : 0 \rightarrow H \xrightarrow{i} G \xrightarrow{\pi} Q \rightarrow 1$ and $\mathbf{E}' : 0 \rightarrow H' \xrightarrow{i'} G' \xrightarrow{\pi'} Q' \rightarrow 1$ are extensions and $\alpha : H \rightarrow H'$, $\beta : Q \rightarrow Q'$, we will write $(\alpha, \beta) : \mathbf{E} \rightarrow \mathbf{E}'$ if there exists $\gamma : G \rightarrow G'$ such that $\gamma i = i' \alpha$ and $\pi \beta = \pi' \gamma$. By abuse of notation we will denote the set of such γ by (α, β) .

The following lemma will prove very useful.

Proposition 3.3. *Suppose*

$$\begin{array}{ccccccc} 1 & \longrightarrow & H & \xrightarrow{i} & E_{f,\varphi} & \xrightarrow{\pi} & Q \longrightarrow 1 \\ & & \alpha \downarrow & & & & \beta \downarrow \\ 1 & \longrightarrow & H' & \xrightarrow{i} & E_{f',\varphi'} & \xrightarrow{\pi} & Q' \longrightarrow 1. \end{array}$$

Then there exists $\gamma : E_{f,\varphi} \rightarrow E_{f',\varphi'}$ with $\gamma i = i\alpha$ and $\pi\gamma = \beta\pi$ if and only if there exists $\sigma : Q \rightarrow H'$ such that for all $h \in H, q, q' \in Q$

$$\sigma q + \varphi'(\beta q)[\alpha h + \sigma q'] + f'(\beta q, \beta q') = \alpha[\varphi(q)h] + \alpha f(q, q') + \sigma(qq'). \quad (1)$$

(a) The correspondence between $\{\gamma \mid \gamma i = i'\alpha, \gamma\pi = \pi'\beta\}$ and $\{\sigma \mid \sigma \text{ satisfies (1)}\}$ is a bijection.

(b) If γ corresponds to σ and γ' corresponds to σ' , then $\gamma'\gamma : E_{f,\varphi} \rightarrow E_{f'',\varphi''}$ corresponds to $\alpha'\sigma + \sigma'\beta : Q \rightarrow H''$.

Proof. That $\gamma(h, q) = (\alpha h + \sigma q, \beta q)$ is forced by $\gamma i = i\alpha$ and $\pi\gamma = \beta\pi$. Condition (1) is just the fact that γ is to be a homomorphism. (a) and (b) are immediate from the relationship between γ and σ . \square

Proposition 3.4. (a) There exists $(\alpha, \text{id}) : \mathbf{E}_{f,\varphi} \rightarrow \alpha_*\mathbf{E}_{f,\varphi}$.

(b) If $(\alpha, \beta) : \mathbf{E}_{f,\varphi} \rightarrow \mathbf{E}_{f',\varphi'}$ then there exists a unique $(\text{id}, \beta) : \alpha_*\mathbf{E}_{f,\varphi} \rightarrow \mathbf{E}_{f',\varphi'}$ such that

$$(\alpha, \beta) = (\text{id}, \beta)(\alpha, \text{id}) : \mathbf{E}_{f,\varphi} \rightarrow \alpha_*\mathbf{E}_{f,\varphi} \rightarrow \mathbf{E}_{f',\varphi'}.$$

Proof. (a) $\sigma \equiv 0$ defines a $\mathbf{E}_{f,\varphi} \rightarrow \alpha_*\mathbf{E}_{f,\varphi}$ since $\varphi_\alpha(\alpha h) = \alpha\varphi(h)$.

(b). Suppose $\gamma \in (\alpha, \beta)$ corresponds to the map $\sigma : Q \rightarrow H'$, that is

$$\sigma q + \varphi'(\beta q)[\alpha h + \sigma q'] + f'(\beta q, \beta q') = \alpha[\varphi(q)h] + \alpha f(q, q') + \sigma(qq').$$

But the right hand side of the equation is $\varphi_\alpha(\alpha h) + f_\alpha(q, q') + \sigma(qq')$ and hence letting $h' = \alpha h$, σ defines a map $(\text{id}, \beta) : \alpha_*\mathbf{E}_{f,\varphi} \rightarrow \mathbf{E}_{f',\varphi'}$. By (b) of the previous proposition $(\text{id}, \beta)(\alpha, \text{id})$ corresponds to $\alpha 0 + \sigma \text{id} = \sigma$ and so $(\alpha, \beta) = (\text{id}, \beta)(\alpha, \text{id})$. \square

Corollary 3.5. If $\mathbf{E}_{f,\varphi} \equiv \mathbf{E}_{f',\varphi'}$ then $\alpha_*\mathbf{E}_{f,\varphi} \equiv \alpha_*\mathbf{E}_{f',\varphi'}$. It follows that $\alpha_*\mathbf{E}$ is well defined.

Proof. If $(\text{id}, \text{id}) : \mathbf{E}_{f,\varphi} \rightarrow \mathbf{E}_{f',\varphi'}$ is an equivalence then the map $(\alpha, \text{id})(\text{id}, \text{id}) : \mathbf{E}_{f,\varphi} \rightarrow \alpha_*\mathbf{E}_{f',\varphi'}$ factors through $\alpha_*\mathbf{E}_{f,\varphi}$. That is there exists $(\rho, \tau) : \alpha_*\mathbf{E}_{f,\varphi} \rightarrow \alpha_*\mathbf{E}_{f',\varphi'}$ with $(\rho, \tau)(\alpha, \text{id}) = (\alpha, \text{id})(\text{id}, \text{id}) : \mathbf{E}_{f,\varphi} \rightarrow \alpha_*\mathbf{E}_{f',\varphi'}$. It follows ρ and τ are the identities and define an equivalence of $\alpha_*\mathbf{E}_{f,\varphi}$ and $\alpha_*\mathbf{E}_{f',\varphi'}$. \square

Corollary 3.6. Let $\alpha : H \rightarrow H$ be an isomorphism.

(1) There exists $(\alpha, 1) : \mathbf{E} \rightarrow \alpha_*\mathbf{E}$.

(2) If $(\alpha, \beta) : \mathbf{E} \rightarrow \mathbf{E}'$ then there exists $(\text{id}, \beta) : \alpha_*\mathbf{E} \rightarrow \mathbf{E}'$ such that

$$(\alpha, \beta) = (\text{id}, \beta)(\alpha, \text{id}) : \mathbf{E} \rightarrow \alpha_*\mathbf{E} \rightarrow \mathbf{E}'.$$

That is, $\alpha_*\mathbf{E}$ is a pushout in the category of extensions.

(3) If there exists $(\alpha, 1) : \mathbf{E} \rightarrow \mathbf{E}'$, then $\mathbf{E}' = \alpha_*\mathbf{E}$.

Proposition 3.7. (1) If $\alpha : H \rightarrow H'$ and $\alpha' : H' \rightarrow H''$ are isomorphisms then $(\alpha'\alpha)_*\mathbf{E} \equiv \alpha'_*(\alpha_*\mathbf{E})$.

(2) If $\beta : Q \rightarrow Q'$ and $\beta' : Q' \rightarrow Q''$ then $(\beta'\beta)_*\mathbf{E} \equiv \beta'_*(\beta_*\mathbf{E})$.

(3) If $\alpha : H \rightarrow H'$ is an isomorphism and $\beta : Q \rightarrow Q'$ then $\alpha_*\beta_*\mathbf{E} \equiv \beta_*\alpha_*\mathbf{E}$.

Proof. These are immediate from the definition of $\alpha_*\mathbf{E} \equiv \alpha_*\mathbf{E}_{f,\varphi}$ and similarly for β_* . \square

Theorem 3.8. Suppose $\alpha = c_{\bar{g}}|H : H \rightarrow H$ with $\pi(g) \in zQ$, in particular if $g \in H$. Then $\mathbf{E} \equiv \alpha_*\mathbf{E}$.

Proof. $c_g : G \rightarrow G$ defines a map $(\alpha, \text{id}) : \mathbf{E} \rightarrow \mathbf{E}$ and hence $\alpha_*\mathbf{E} \equiv \mathbf{E}$. \square

Theorem 3.9. *Suppose α and β are automorphisms. Consider the following diagram*

$$\begin{array}{ccccccc} \mathbf{E}: & 1 & \longrightarrow & H & \xrightarrow{i} & G & \xrightarrow{\pi} & Q & \longrightarrow & 1 \\ & & & \alpha \downarrow & & & & \beta \downarrow & & \\ & \mathbf{E}: & 1 & \longrightarrow & H & \xrightarrow{i'} & G & \xrightarrow{\pi'} & Q & \longrightarrow & 1 \end{array}$$

with α an automorphism and suppose

$$\begin{array}{ccc} Q & \xrightarrow{\Phi} & \text{Out}(H) \\ \beta \downarrow & & \downarrow c_{[\alpha]} \\ Q & \xrightarrow{\Phi} & \text{Out}(H) \end{array}$$

commutes. Then there exists $(\alpha, \beta) : \mathbf{E} \rightarrow \mathbf{E}$ if and only if $\alpha_* \mathbf{E} \equiv \beta^* \mathbf{E} \in \mathcal{X}_{\Phi\beta}(Q, H)$

Proof. If $(\text{id}, \text{id}) : \alpha_* \mathbf{E} \rightarrow \beta^* \mathbf{E}$ then we have $(\alpha, \beta) : \mathbf{E} \rightarrow \mathbf{E}$ given by

$$(\text{id}, \beta)(\text{id}, \text{id})(\alpha, \text{id}) : \mathbf{E} \rightarrow \alpha_* \mathbf{E} \rightarrow \beta^* \mathbf{E} \rightarrow \mathbf{E}.$$

Conversely since there exists $(\alpha, \text{id}) : \mathbf{E} \rightarrow \alpha_* \mathbf{E}$ there exists $(\alpha^{-1}, \text{id}) : \alpha_* \mathbf{E} \rightarrow \mathbf{E}$ and similarly for β . Hence if $(\alpha, \beta) : \alpha_* \mathbf{E} \rightarrow \beta^* \mathbf{E}$ exists,

$$(\text{id}, \beta^{-1})(\alpha, \beta)(\alpha^{-1}, \text{id}) : \alpha_* \mathbf{E} \rightarrow \mathbf{E} \rightarrow \mathbf{E} \rightarrow \beta^{-1} \mathbf{E}$$

is an equivalence $(\text{id}, \text{id}) : \alpha_* \mathbf{E} \rightarrow \beta^* \mathbf{E}$. \square

4. THE ACTION OF \mathcal{S} ON $\mathcal{X}_{\Phi}(Q, H)$ AND $H^2(Q, zH)$

Definition 4.1. $\mathcal{S} = \{(\alpha, \beta) \in \text{Aut}(H) \times \text{Aut}(Q) \mid \Phi\beta = c_{[\alpha]}\Phi\}$.

This is clearly a subgroup. Since $\alpha_* : \mathcal{X}_{\Phi}(Q, H) \rightarrow \mathcal{X}_{c_{[\alpha]}\Phi}(Q, H)$ and $\beta^* : \mathcal{X}_{\Phi}(Q, H) \rightarrow \mathcal{X}_{\Phi\beta}(Q, H)$, if $\theta = (\alpha, \beta) \in \mathcal{S}$ then $\alpha_*^{-1}\beta^* : \mathcal{X}_{\Phi}(Q, H) \rightarrow \mathcal{X}_{\Phi}(Q, H)$.

Proposition 4.2. *If $\theta = (\alpha, \beta) \in \mathcal{S}$, Then $\mathbf{E}\theta := \alpha_*^{-1}\beta^* \mathbf{E}$ defines a right action of \mathcal{S} on $\mathcal{X}_{\Phi}(Q, H)$.*

Proof. One only needs to note that for any $\alpha \in \text{Aut } H$ and $\beta \in \text{End } Q$ that $\alpha_*\beta^* \mathbf{E} = \beta^*\alpha_* \mathbf{E}$ and this is trivial when one expresses $\mathbf{E} = \mathbf{E}_{f, \varphi}$. \square

Φ gives a well defined Q -module structure to zH . The automorphism $\alpha : H \rightarrow H$ maps $zH \rightarrow zH$ and α and β define homomorphisms $\alpha_*, \beta^* : H^2(Q, {}_{\beta}zH) \rightarrow H^2(Q, {}_{\beta}zH)$ and hence a well defined homomorphism $\theta^* = \alpha_*^{-1}\beta^* : H^2(Q, zH) \rightarrow H^2(Q, zH)$. It is easy to see that $(\theta'\theta)^* = \theta^*\theta'^*$ and hence a right action of \mathcal{S} on $H^2(Q, zH)$, $\zeta\theta = \theta^*(\zeta)$. $H^2(Q, zH)$ acts simply transitively on (the right) $\mathcal{X}_{\Phi}(Q, H)$ as follows. If $\mathbf{E} = \mathbf{E}_{\varphi, f} \in \mathcal{X}_{\Phi}(Q, H)$ and $\zeta = [c] \in H^2(Q, zH)$ then $\mathbf{E} \cdot \zeta = \mathbf{E}_{\varphi, f+c}$. An easy calculation shows

Proposition 4.3. *i) $\alpha_*(\mathbf{E}\zeta) = (\alpha_* \mathbf{E})\alpha_* \zeta$, ii) $\beta^*(\mathbf{E}\zeta) = (\beta^* \mathbf{E})\beta^* \zeta$ and hence if $\theta \in \mathcal{S}$, $\zeta \in H^2(Q, zN)$, $(\mathbf{E} \cdot \zeta)\theta = \mathbf{E}\theta \cdot \zeta\theta$.*

Recall that an extension $1 \rightarrow H \rightarrow G \xrightarrow{\pi} Q \rightarrow 1$ is split if there exists a homomorphism $s : Q \rightarrow G$ with $\pi s = \text{id}$.

Proposition 4.4. *If \mathbf{E} is a split extension then both $\alpha_* \mathbf{E}$ and $\beta^* \mathbf{E}$ are split extensions.*

Proof. That the pullback $\beta^* \mathbf{E}$ is split follows from the definition of the pullback. If $\mathbf{E} = \mathbf{E}_{\varphi, f}$ and $s(q) = (\tau q, q)$ is a splitting for \mathbf{E} the fact that s is a homomorphism translates to the fact that

$$\tau(qq') = \tau q + \varphi(q)\tau q' + f(q, q'). \quad (*)$$

Defining $u(q) = (\alpha\tau q, q)$, u is a homomorphism if and only if

$$\alpha\tau qq' = \alpha\tau q + \alpha\varphi\alpha^{-1}(\alpha\tau q') + \alpha f(q, q')$$

which follows from $(*)$ by applying α . \square

Remark 1. Unfortunately this does not show that split extensions are always fixed points, but only that under the action of \mathcal{S} on $\mathcal{X}_\Phi(Q, H)$ split extensions are always taken to split extensions. If there exists a unique split extension in $\mathcal{X}_\Phi(Q, H)$, e.g. if H is abelian, then we have a fixed point. But for most non-abelian H with non-trivial center there exist many split extensions in a given outermorphism class Φ . For example if H is the dihedral or quaternion group of order 8 or 16, Q is $\mathbb{Z}/2$ or $\mathbb{Z}/4$ and $Q \rightarrow \text{Out } H$ the trivial homomorphism, then $\mathcal{X}_\Phi(Q, H)$ consists of two elements and both are split extensions. Whether this is always true is yet to be decided.

Now subtraction defines a map

$$s : \mathcal{X}_\Phi(Q, H) \times \mathcal{X}_\Phi(Q, H) \rightarrow H^2(Q, zH)$$

as follows. Let $\mathbf{E}, \mathbf{E}' \in \mathcal{X}_\Phi(Q, H)$. Choose any basepoint \mathbf{E}_0 . Then $\mathbf{E} \equiv \mathbf{E}_0\zeta$, $\mathbf{E}' \equiv \mathbf{E}_0\zeta'$ with $\zeta, \zeta' \in H^2(Q, zH)$, and define $s(\mathbf{E}, \mathbf{E}') = \zeta - \zeta' \in H^2(Q, zH)$. This is independent of \mathbf{E}_0 . Denote $s(\mathbf{E}, \mathbf{E}') = (\mathbf{E} - \mathbf{E}')$. \mathcal{S} acts (on the right) on both $\mathcal{X}_\Phi(Q, H) \times \mathcal{X}_\Phi(Q, H)$ and $H^2(Q, zH)$.

Proposition 4.5. *i) s is equivariant, i.e., if $\theta \in \mathcal{S}$ then $(\mathbf{E} - \mathbf{E}')\theta = (\mathbf{E}\theta - \mathbf{E}'\theta)$.*

ii) $(\mathbf{E} - \mathbf{E}') = -(\mathbf{E}' - \mathbf{E})$.

iii) $(\mathbf{E} - \mathbf{E}') + (\mathbf{E}' - \mathbf{E}'') = (\mathbf{E} - \mathbf{E}'')$.

Proof. i) If \mathbf{E}_0 is a base point and $\mathbf{E} = \mathbf{E}_0a$ and $\mathbf{E}' = \mathbf{E}_0b$ then $(\mathbf{E} - \mathbf{E}') = a - b$, $\mathbf{E}\theta = (\mathbf{E}_0a)\theta = (\mathbf{E}_0\theta)a\theta$ and similarly for $\mathbf{E}'\theta$. Since $(\mathbf{E}\theta - \mathbf{E}'\theta)$ is independent of the base points $(\mathbf{E}\theta - \mathbf{E}'\theta) = a\theta - b\theta$. ii) and iii) are clear from the definition. \square

Define $\lambda_{\mathbf{E}} : \mathcal{S} \rightarrow H^2(Q, zH)$ by $\lambda_{\mathbf{E}}(\theta) = (\mathbf{E} - \mathbf{E}\theta)$.

Proposition 4.6. $\lambda_{\mathbf{E}} : \mathcal{S} \rightarrow H^2(Q, zH)$ is a derivation, that is

$$\lambda_{\mathbf{E}}(\theta\theta') = \lambda_{\mathbf{E}}(\theta)\theta' + \lambda_{\mathbf{E}}(\theta').$$

Proof. Let \mathbf{E}_0 be a base point and $\mathbf{E} = \mathbf{E}_0a$, $\mathbf{E}\theta' = \mathbf{E}_0b$, $\mathbf{E}\theta\theta' = \mathbf{E}_0c$ with $a, b, c \in H^2(Q, zH)$. Then

$$\begin{aligned} \lambda_{\mathbf{E}}(\theta') + \lambda_{\mathbf{E}}(\theta)\theta' - \lambda_{\mathbf{E}}(\theta\theta') &= (\mathbf{E} - \mathbf{E}\theta') + (\mathbf{E} - \mathbf{E}\theta)\theta' - (\mathbf{E} - \mathbf{E}\theta\theta') \\ &= (\mathbf{E} - \mathbf{E}\theta') + (\mathbf{E}\theta' - \mathbf{E}\theta\theta') + (\mathbf{E}\theta\theta' - \mathbf{E}) \\ &= (a - b) + (b - c) + (c - a) = 0. \end{aligned}$$

\square

Let $\text{Aut}(G, H) = \{\gamma \in \text{Aut } G \mid \gamma|_H : H \rightarrow H\}$. There exists an obvious homomorphism $\text{res} : \text{Aut}(G, H) \rightarrow \mathcal{S}$ given by $\text{res}(\gamma) = (\gamma|_H, \gamma')$ where $\gamma'\pi = \pi\gamma$. Choosing a basepoint \mathbf{E}_0 one sees $\lambda_{\mathbf{E}}\theta = 0$ if and only if $\mathbf{E} \equiv \mathbf{E}\theta$ for $\theta \in \mathcal{S}$. Therefore in view of 3.9 the following are equivalent.

- (1) θ extends to a mapping $\mathbf{E} \rightarrow \mathbf{E}$. That is $\theta \in \text{image}\{\text{res}\}$.
- (2) $\theta \in \text{Iso}_{\mathcal{S}}(\mathbf{E})$, the isotopy group of \mathbf{E} .
- (3) $\theta \in \ker \lambda_{\mathbf{E}}$.

Theorem 4.7. *Let $\mathbf{E} \in \mathcal{X}_\Phi(Q, H)$. There exists an exact sequence of groups*

$$0 \rightarrow \mathcal{Z}^1(Q, zH) \xrightarrow{\mu} \text{Aut}(G, H) \xrightarrow{\text{res}} \mathcal{S} \xrightarrow{\lambda_{\mathbf{E}}} H^2(Q, zH)$$

where Q acts on zH via Φ and $\mathcal{Z}^1(Q, zH)$ are the cocycles with respect to this action. The map μ is given by $\sigma \mapsto \varphi_\sigma$ where $\varphi_\sigma(g) = \sigma(\pi g)g$ and $\lambda_{\mathbf{E}}$ is a derivation.

Proof. All is clear except for the identification of the kernel of the map $\text{Aut } G \xrightarrow{\text{res}} \text{Iso}_{\mathcal{S}}(\mathbf{E}) \subseteq \mathcal{S}$ given by $\gamma \mapsto (\gamma|_H, \gamma')$ where $\pi\gamma = \gamma'\pi$. Assume $\mathbf{E} = \mathbf{E}_{f, \varphi}$. By 3.3 $\gamma(h, q) = (h + \sigma q, q)$ for some $\sigma : Q \rightarrow H$ satisfying

$$\sigma q + \varphi(q)[h + \sigma q'] + f(q, q') = [\varphi(q)h] + f(q, q') + \sigma(qq') \quad (*).$$

But $(0, q) + (h, 1) = (\varphi(q)h, q)$ and so $\gamma(0, q) + \gamma(h, 1) = \gamma(\varphi(q)h, q)$ or

$$(\varphi(q)h + \sigma q, q) = (\sigma q, q) + (h, 1) = (\sigma q + \varphi(q)h, q).$$

Therefore $\sigma : Q \rightarrow zH$ and $(*)$ says σ is a cocycle. This shows the map $\mathcal{Z}^1(Q, zH) \rightarrow \ker \text{res}$ given by $\sigma \mapsto \gamma_\sigma$ where $\gamma_\sigma(h, q) = (h + \sigma(q), q) = (\sigma(q) + h, q) = (\sigma(q), 1) + (h, q)$ is onto. (The second equality follows since $\sigma(q)$ is central.) It is clearly a monomorphism.

By choosing an appropriate (normalized) section $s : Q \rightarrow G$ we obtain an isomorphism $\rho : G \rightarrow E_{f,\varphi}$ given by $g = hs(\pi g) \mapsto (h, \pi g)$. Then

$$\varphi_\sigma(g) = \rho^{-1}\gamma\rho(g) = \rho^{-1}\gamma(h, \pi g) = \rho^{-1}(\sigma(\pi g), 1) + (h, q)) = \sigma(\pi g)g.$$

□

Remark 2. All the terms in the above exact sequence except for $\text{Aut}(G, H)$ depend only on H , Q and the action of Q on the center of H and not on the extension \mathbf{E} . The image of $\text{res} = \text{Iso}_{\mathcal{S}} \mathbf{E}$ clearly depends on \mathbf{E} . This is well illustrated by the groups D_4 and Q_8 which have center $H = \mathbb{Z}/2$ (and hence $\text{Aut}(G, H) = \text{Aut } G$ in both cases) and quotient $Q = \mathbb{Z}/2 \times \mathbb{Z}/2$. Since zH is a trivial Q -module $\mathcal{Z}^1(Q, zH) = H^1(Q, zH) = (\mathbb{Z}/2)^2$, we have an exact sequence

$$0 \rightarrow \mathbb{Z}/2 \times \mathbb{Z}/2 \rightarrow \text{Aut } G \rightarrow \text{Iso}_{\mathcal{S}} \mathbf{E} \rightarrow 1.$$

Now $H^2(Q, zH) \simeq (\mathbb{Z}/2)^3$. Since $\Phi = 1$,

$$\mathcal{S} = \text{Aut } \mathbb{Z}/2 \times \text{Aut}(\mathbb{Z}/2 \times \mathbb{Z}/2) = GL_2(F_2) = S_3$$

acts on a set of order 8 representing the extensions of $\mathbb{Z}/2$ by the Klein 4-group. It is not difficult to see that there are 4 orbits, two orbits with one element representing $(\mathbb{Z}/2)^3$ and Q_8 and two orbits with 3 elements representing D_4 and $\mathbb{Z}/4 \times \mathbb{Z}/2$. Hence we have exact sequences

$$\begin{aligned} 0 \rightarrow V \rightarrow \text{Aut } D_4 \rightarrow \mathbb{Z}/2 \rightarrow 1 \\ 0 \rightarrow V \rightarrow \text{Aut } Q_8 \rightarrow S_3 \rightarrow 1 \end{aligned}$$

corresponding to the facts that $\text{Aut } D_4 \simeq D_4$ and $\text{Aut } Q_8 \simeq S_4$.

5. $\text{Out}(G, H)$ AND THE BASIC EXACT SEQUENCE

$\text{Inn } G$ is a normal subgroup of $\text{Aut}(G, H)$. Let $\text{Out}(G, H) = \text{Aut}(G, H)/\text{Inn } G$.

Consider the map $u = \text{res}|_{\text{Inn } G} : \text{Inn } G \rightarrow \text{Aut}(G, H) \rightarrow \mathcal{S}$. The image of this map is $\mathcal{B} = \{u(c_g) = (c_g|H, c_{\pi g}) \mid g \in G\}$.

Proposition 5.1. *i) \mathcal{B} is normal in \mathcal{S} .*

ii) $\mathcal{B} \subseteq \text{Iso}_{\mathcal{S}} \mathbf{E}$.

iii) $\ker u \simeq (C_G H \cap \pi^{-1} zQ)/zG$.

Proof. i) If $(\alpha, \beta) \in \mathcal{S}$ then $(\alpha, \beta)(c_g|H, c_{\pi g})(\alpha, \beta)^{-1} = (\alpha c_g|H\alpha^{-1}, c_{\beta\pi g})$. Now $(\alpha, \beta) \in \mathcal{S}$ means $\Phi(\beta\pi g) = \alpha\Phi(\pi g)\alpha^{-1}$. But $\Phi(\pi g) = [c_g|H]$ and so if $g' \in G$ is a preimage of $\beta\pi g$ we have $[c_{g'}|H] = [\alpha c_g|H\alpha^{-1}]$. Therefore $\alpha c_g|H\alpha^{-1} = c_{g'}|H c_h$ for some $h \in H$ and hence

$$(\alpha c_g|H\alpha^{-1}, c_{\beta\pi g}) = (c_{g'}|H, c_{\beta\pi g})(c_h|H, \text{id}).$$

Clearly $(c_h|H, \text{id}) = u(c_h) \in \mathcal{B}$ and since $\pi g' = \beta\pi g$, $(c_{g'}|H, c_{\beta\pi g}) = u(c_{g'}) \in \mathcal{B}$.

ii) This is just 3.9 since $(c_g|H, c_g, c_{\pi g}) : \mathbf{E} \rightarrow \mathbf{E}$.

iii) $c_g|H = \text{id}$ if and only if $g \in C_G H$ and $c_{\pi g} = \text{id}$ if and only if $g \in \pi^{-1} zQ$. □

Let $\bar{g} \in C_G H \cap \pi^{-1} zQ$ and let $\sigma : G \rightarrow G$ given by $\sigma_{\bar{g}}(g) = [\bar{g}, g] = \bar{g}g\bar{g}^{-1}g^{-1}$.

Proposition 5.2. *i) $\sigma_{\bar{g}}(gh) = \sigma_{\bar{g}}(g)$ and hence defines a map $\sigma : Q \rightarrow G$.*

ii) $\sigma_{\bar{g}}(g) \in zH$.

iii) $\sigma_{\bar{g}} : Q \rightarrow zH$ is a derivation with respect to the conjugation action of Q on zH .

Proof. i) $\sigma_{\bar{g}}(gh) = \bar{g}gh\bar{g}^{-1}(gh)^{-1} = \bar{g}g\bar{g}^{-1}hh^{-1}g^{-1} = \sigma_{\bar{g}}g$.

ii) $\bar{g} \in \pi^{-1} zQ$ implies $\sigma_{\bar{g}}g \in H$. Since $\bar{g} \in C_G H$ and $h, g^{-1}hg \in H$

$$h\sigma_{\bar{g}}(g) = \bar{g}hg\bar{g}^{-1}g^{-1} = \bar{g}g(g^{-1}hg)\bar{g}^{-1}g^{-1} = (\bar{g}g\bar{g}^{-1}g^{-1})h = \sigma_{\bar{g}}(g)h.$$

iii) If $\pi g = q$, $\pi g' = q'$ then

$$\sigma_{\bar{g}}(qq') = \bar{g}(gg')\bar{g}^{-1}(gg')^{-1} = \bar{g}g\bar{g}^{-1}g^{-1}g\bar{g}g'\bar{g}^{-1}g'^{-1}g^{-1} = \sigma_{\bar{g}}(q)\sigma_{\bar{g}}(q').$$

□

If we define $\sigma : C_G \cap \pi^{-1}zQ/zG \rightarrow Z^1(Q, zH)$ by $\sigma(gzG) = \sigma_g$ we see easily that we have a commutative diagram

$$\begin{array}{ccccccc} 1 & \longrightarrow & C_G H \cap \pi^{-1}zQ/zG & \xrightarrow{c} & \text{Inn } G & \xrightarrow{res} & \mathcal{B} \longrightarrow 1 \\ & & \downarrow \sigma & & \downarrow & & \downarrow \\ 0 & \longrightarrow & Z^1(Q, zH) & \xrightarrow{\lambda} & \text{Aut}(G, H) & \xrightarrow{res} & \text{Iso}_{\mathcal{S}} \mathbf{E} \longrightarrow 1 \end{array}$$

If $\bar{B} = \text{Image } \sigma$, $Z^1(Q, zH) \supseteq \bar{B} \supseteq B^1(Q, zH) = \sigma(zH zG/zG)$ where $B^1(Q, zH)$ are the boundaries and we have an exact sequences

$$\begin{aligned} 0 &\rightarrow \bar{H}^1(Q, zH) \xrightarrow{\lambda} \text{Out}(G, H) \xrightarrow{res} \text{Iso}_{\mathcal{S}} \mathbf{E} \rightarrow 1, \\ 0 &\rightarrow (C_G H \cap \pi^{-1}zQ)/zH zG \rightarrow H^1(Q, zH) \rightarrow \bar{H}^1(Q, zH) \rightarrow 0. \end{aligned}$$

Remark 3. If H is centric in G then $C_G H \cap \pi^{-1}zQ = zH$, $zG \subseteq zH$ and so $\bar{H}^1(Q, zH) = H^1(Q, zH)$.

$\text{Iso}_{\mathcal{S}} \mathbf{E}/\mathcal{B} \subseteq \mathcal{S}/\mathcal{B} \equiv \bar{\mathcal{S}}$. $\bar{\mathcal{S}}$ does not necessarily act on $\mathcal{X}_{\Phi}(Q, H)$ since \mathcal{B} does not necessarily act trivially. Let $\mathcal{O}_{\mathbf{E}}$ denote the orbit of $\mathbf{E} \in \mathcal{X}_{\Phi}(Q, H)$ under the right action of \mathcal{S} , and for $g \in G$ let $\theta_g = (c_g|H, c_{\pi g}) \in \mathcal{S}$.

Proposition 5.3. *i) $\lambda_{\mathbf{E}}(\theta_g \theta) = \lambda_{\mathbf{E}} \theta$ for $\theta \in \mathcal{S}$ and hence defines a map $\lambda_{\mathbf{E}} : \bar{\mathcal{S}} \rightarrow H^2(Q, zH)$.
ii) $\bar{\mathcal{S}}$ acts (on the right) on $\mathcal{O}_{\mathbf{E}}$ and the map $\lambda_{\mathbf{E}} : \bar{\mathcal{S}} \rightarrow U \subseteq H^2(Q, zH)$. U is an $\bar{\mathcal{S}}$ invariant subgroup of $H^2(Q, zH)$ and $\lambda_{\mathbf{E}}$ is a derivation with respect to this action.
iii) $\text{Iso}_{\bar{\mathcal{S}}} \mathbf{E} = \text{Iso}_{\mathcal{S}} \mathbf{E}/\mathcal{B}$.*

Proof. i) $\lambda_{\mathbf{E}}$ is a derivation and $\mathbf{E} \theta_g \equiv \mathbf{E}$. Hence $\lambda_{\mathbf{E}}(\theta_g \theta) = \lambda_{\mathbf{E}}(\theta_g) \theta + \lambda_{\mathbf{E}}(\theta) = \lambda_{\mathbf{E}} \theta$.

ii) Since \mathcal{B} is normal in \mathcal{S} we have $\lambda_{\mathbf{E}}(\theta \theta_g) = \lambda_{\mathbf{E}}(\theta)$. I.e, for all $\theta, \theta_g \in \mathcal{S}$

$$\mathbf{E} \theta - \mathbf{E} = \mathbf{E}(\theta \theta_g) - \mathbf{E} = (\mathbf{E} \theta) \theta_g - \mathbf{E}.$$

. But by choosing a base point in $\mathcal{X}_{\Phi}(Q, H)$ this easily implies $(\mathbf{E} \theta) \theta_g = \mathbf{E} \theta$, that is θ_g acts trivially on $\mathcal{O}_{\mathbf{E}}$. $U = \{(\mathbf{E}' - \mathbf{E}'') | \mathbf{E}', \mathbf{E}'' \in \mathcal{O}_{\mathbf{E}}\}$. That the induced map $\lambda_E : \bar{\mathcal{S}} \rightarrow H^2(Q, zH)$ is a derivation is obvious.

iii) This is also obvious. □

This gives the following.

Theorem 5.4. *Let $\bar{\mathcal{S}} = \mathcal{S}/\mathcal{B}$ then $\bar{\mathcal{S}}$ acts on $\mathcal{O}_{\mathbf{E}} \subseteq \mathcal{X}_{\Phi}(Q, zH)$ and there exists an exact sequence*

$$0 \rightarrow \bar{H}^1(Q, zH) \xrightarrow{\mu} \text{Out}(G, H) \xrightarrow{res} \bar{\mathcal{S}} \xrightarrow{\lambda_{\mathbf{E}}} H^2(Q, zH).$$

image $\{res\} = \text{Iso}_{\bar{\mathcal{S}}} \mathbf{E}$ and $\bar{H}^1(Q, zH) \simeq H^1(Q, zH)/V$ with $V \simeq (C_G H \cap \pi^{-1}zQ)/zH zG$.

6. DECOMPOSITION OF $\bar{\mathcal{S}}$

Definition 6.1. $\text{Aut}_{\Phi} Q = \{\beta \in \text{Aut } Q | \Phi \beta = \Phi\}$. Identify $\beta \in \text{Aut}_{\Phi} Q$ with $(1, \beta) \in \mathcal{S}$.

If $(\alpha, \beta) \in \mathcal{S}$ then $[\alpha \Phi(q) \alpha^{-1}] = \Phi(\beta q)$ and hence $[\alpha] \in N_{\text{Out } H}(\Phi Q)$ and it is clear we have a homomorphism $p : \mathcal{S} \rightarrow N_{\text{Out } H}(\Phi Q)$ given by $p(\alpha, \beta) = [\alpha]$.

Lemma 6.2. *i) $p(\mathcal{B}) = \Phi(Q)$ and hence we have a homomorphism*

$$p : \bar{\mathcal{S}} \rightarrow N_{\text{Out } H}(\Phi Q)/\Phi Q.$$

ii) $\ker p = \mathcal{B} \text{Aut}_{\Phi}(Q)/\mathcal{B} \simeq \text{Aut}_{\Phi} Q/(\text{Aut}_{\Phi} Q \cap \mathcal{B})$.

Proof. i) $\Phi(Q) = \{[c_g|H] | g \in G\} = p(\mathcal{B})$.

ii) If $[\alpha] = [c_g|H]$ then $\alpha = c_g|H c_h = c_{\bar{g}}|H$ for some $\bar{g} \in G$. Then

$$(\alpha, \beta) = (c_{\bar{g}}|H, c_{\pi \bar{g}})(\text{id}, c_{\pi \bar{g}}^{-1} \beta) \in \mathcal{B} \text{Aut}_{\Phi} Q.$$

The converse is obvious. □

Remark 4. i) If H is centric in G then $\text{Aut}_{\Phi} Q = \{\text{id}\}$ since $\Phi(\beta q) = \Phi(q)$ implies $\beta q = q$ since centric is equivalent to Φ being a monomorphism. Hence in the centric case p is a monomorphism.

ii) More generally, p is a monomorphism if and only if $\text{Aut}_{\Phi} Q \subseteq \mathcal{B}$ if and only if $\Phi \beta = \Phi$ implies $\beta = c_{\pi g}$ for some $g \in C_G H$.

In order to determine the image of p let $Q' = Q/\ker \Phi$ with natural projection $\tau : Q \rightarrow Q'$. Φ defines a monomorphism $\Phi' : Q' \rightarrow \text{Out } H$ with $\Phi'\tau = \Phi$. Now $\Phi'(Q') = \Phi(Q)$ and if $[\alpha] \in N_{\text{Out } H}(\Phi Q)$ then there exist a (unique) homomorphism $\beta' : Q' \rightarrow Q'$ with $[\alpha\Phi'(q')\alpha^{-1}] = \Phi'(\beta'q')$ for all $q' \in Q'$. If $[\alpha] \in \text{image } p$ then there exists $\beta : Q \rightarrow Q$ inducing β' , i.e., with $\tau\beta = \beta'\tau$. Conversely if β exists then for all $q \in Q$ we have

$$[\alpha\Phi q\alpha] = [\alpha\Phi'(\tau q)\alpha^{-1}] = \Phi'(\beta'\tau q) = \Phi'(\tau\beta q) = \Phi(\beta q)$$

and $(\alpha, \beta) \in \mathcal{S}$. If $[\alpha] \in N_{\text{Out } H}(\Phi Q)$ determines the map $\beta' : Q' \rightarrow Q'$ and $(c_g|H, c_{\pi g}) \in \mathcal{B}$ then $[\alpha c_g|H]$ determines the map $\beta' c_{\pi g} : Q' \rightarrow Q'$.

Lemma 6.3. *β' lifts to a map $Q \rightarrow Q$ if and only if $\beta' c_{\pi g}$ lifts to a map $Q \rightarrow Q$.*

Proof. The map $\pi : G \rightarrow Q$ induces the following commutative diagram

$$\begin{array}{ccccc} 1 \rightarrow HC_G H/H & \longrightarrow & G/H & \longrightarrow & G/HC_G H \rightarrow 1 \\ \pi \downarrow \simeq & & \pi \downarrow \simeq & & \pi \downarrow \simeq \\ 1 \rightarrow \ker \Phi & \longrightarrow & Q & \xrightarrow{\tau} & Q' \rightarrow 1. \end{array}$$

Therefore $c_{\pi g} : Q' \rightarrow Q'$ lifts to $c_{\pi g} : Q \rightarrow Q$ and the result follows. \square

If $\ker \Phi$ is abelian there is by [4] a well defined cohomolgy class $\Delta(\beta') \in H^2(Q, \ker \Phi)$ such that β' lifts to a map $\beta : Q \rightarrow Q$ if and only if $\Delta(\beta')$ is the base point of $H^2(Q, \ker \Phi)$. Hence

Proposition 6.4. *If $\ker \Phi$ is abelian there exists an exact sequence*

$$\bar{\mathcal{S}} \xrightarrow{p} N_{\text{Out } H}(\Phi Q)/\Phi Q \xrightarrow{\Delta} H^2(Q, \ker \Phi).$$

Remark 5. In the centric case, $C_G H \subseteq H$, we have from remarks 3 and 4, i) $H^1(Q, zH) = \bar{H}^1(Q, zH)$ and ii) $\ker p \simeq \text{Aut}_\Phi Q/(\text{Aut}_\Phi Q \cap \mathcal{B}) = \{\text{id}\}$. Clearly $H^2(Q, \ker \Phi) = \{*\}$ and so the exact sequence 5.4 becomes the exact sequence of [3]

$$0 \rightarrow H^1(Q, zH) \xrightarrow{\mu} \text{Aut}(G, H) \xrightarrow{\text{res}} N_{\text{Out } H}(\Phi Q)/\Phi Q \xrightarrow{\lambda_E} H^2(Q, zH)$$

and with λ_E a derivation.

7. SOLVABILITY OF $\text{Aut}(G, H)$

Proposition 7.1. *If H , $\text{Aut}_\Phi Q$ and $N_{\text{Out } H}(\Phi Q)$ are solvable so is \mathcal{S} . Conversely if \mathcal{S} is solvable, then H , $\text{Aut}_\Phi Q$ and $C_{\text{Out } H}(\Phi Q)$ are solvable.*

Proof. If $p : \mathcal{S} \rightarrow N_{\text{Out } H}(\Phi Q)$ is given by $p(\alpha, \beta) = [\alpha]$, then $\ker p = \{(c_h, \beta) \mid h \in H\} = \mathcal{U} \text{Aut}_\Phi Q$ where $\mathcal{U} = \{(c_h, \text{id}) \mid h \in H\}$ is a normal subgroup of \mathcal{S} . Since \mathcal{U} is solvable if H is, we see from the hypothesis, \mathcal{S} is solvable if and only if $\text{Aut}_\Phi Q$ is.

Conversely if \mathcal{S} is solvable then so is $\mathcal{I} = \{(c_h, \text{id}) \mid h \in H\} \subseteq \mathcal{S}$ and therefore also H since $H/zH \simeq \mathcal{I}$. Clearly $C_{\text{Out } H}(\Phi Q) \subseteq \mathcal{S}$ as the set $\{(\alpha, \text{id})\}$. \square

Theorem 7.2. *Let $1 \rightarrow H \rightarrow G \rightarrow Q \rightarrow 1$ be an exact of groups with associated homomorphism $\Phi : Q \rightarrow \text{Out } H$. Suppose 1) H is solvable, 2) H is characteristic in G . 3) $N_{\text{Out } H}(\Phi Q)$, the normalizer of $\Phi(Q) \subseteq \text{Out } H$, is solvable, 4) $\text{Aut}(\ker \Phi)$ is solvable. Then $\text{Aut}(G, H)$ is solvable.*

For each condition there exists an extension where the remaining three conditions are true and $\text{Aut } G$ is not solvable and for each condition except the first there exists an extension where exactly one of the remaining conditions is false and $\text{Aut } G$ is solvable.

Proof. From 4.7 we see that $\text{Aut}(G, H)$ is solvable if and only if $\text{Iso}_{\mathcal{S}} \mathbf{E} \subseteq \mathcal{S}$ is solvable. Since H is characteristic in G , $\text{Aut}(G, H) = \text{Aut } G$. To show \mathcal{S} is solvable we need to show that $\text{Aut}(\ker \Phi)$ being solvable implies $\text{Aut}_\Phi Q$ is solvable.

If $\beta \in \text{Aut}_\Phi Q$ then $\Phi\beta = \Phi$ and β restricts to an automorphism of $\ker \Phi$ and so we have a homomorphism $\text{Aut}_\Phi Q \rightarrow \text{Aut}(\ker \Phi)$ with kernel K . Again by the hypothesis, $\text{Aut}_\Phi Q$ is solvable if and only if K is.

$\beta \in K$ if and only if $\Phi\beta = \Phi$ and $\beta|_{\ker \Phi} = \text{Id}$. If $\lambda(q) = \beta(q)q^{-1}$ then $\Phi(\lambda q) = 1$ and so $\lambda : Q \rightarrow \ker \Phi$. $\beta(q) = q$ for $q \in \ker \Phi$ and so $\lambda|_{\ker \Phi} = 1$. β a homomorphism if and only if λ is a 1-cocyle, that is $\lambda(qq') = \lambda(q)^q \lambda(q')$ for all $q, q' \in Q$ where Q is acting by conjugation. If $q \in Q$

and $q' \in \ker \Phi$ then $\lambda(qq') = \lambda(q)$ and so λ is constant on left cosets and hence on right cosets since $\ker \Phi$ is normal in Q . Therefore for $q \in Q$ and $q' \in \ker \Phi$

$$\lambda(q) = \lambda(q'q) = q' \lambda(q) = q' \lambda(q) q'^{-1}.$$

Therefore $\lambda(q) \in z \ker \Phi$ and defines a cohomology class $[\lambda] \in H^1(Q, z \ker \Phi)$. Let $\sigma(\beta) = [\lambda]$. It is easily seen $\sigma : K \rightarrow H^1(Q', z \ker \Phi)$ is a homomorphism. If $\sigma(\beta) = [0]$ then $\lambda(q) = w^q w^{-1}$ for some $w \in z \ker \Phi$. But then $\beta(q) = \lambda(q)q = wqw^{-1}q^{-1}q = c_w(q)$. Hence we have an exact sequence $z \ker \Phi \rightarrow K \rightarrow H^1(Q', z \ker \Phi)$ and K is solvable.

Let p and $n!$ be relatively prime and $G = H \times Q = A_5 \times \mathbb{Z}/p$. Then 1) is false, 2), 3) and 4) are true and $\text{Aut } G \simeq \text{Aut } A_5 \times \mathbb{Z}/(p-1)$ is not solvable.

If $G = (\mathbb{Z}/2)^3 = H \times Q = (\mathbb{Z}/2)^2 \times \mathbb{Z}/2$ then 2) is false 1), 3), and 4) are true and $\text{Aut}(G) \simeq \text{GL}_3(\mathbb{F}_2)$ is not solvable.

For 3) let $H = (\mathbb{Z}/2)^3$, $Q = \mathbb{Z}/3$ and $G = H \times Q$. Then 3) is false, 1) 2) and 4) are true and $\text{Aut } G$ is non-solvable.

For 4) just interchange the roles of H and Q in example 3.

As for the necessity of the above conditons. If $\text{Aut } G$ is solvable then G and therefore H must be solvable. Certainly being characteristic is not a necessary condition as $\mathbb{Z}/2 \times \mathbb{Z}/2$ has a solvable automorphism group but has no non-trivial characteristic subgroups.

Let H be the small group (25, 2). This is a solvable group whose automorphism group A (small group (480, 218)) is not solvable. A contains a normal subgroup H of order 2. Let G be the semi-direct product of H and $Q = \mathbb{Z}/2$, where $\mathbb{Z}/2$ acts via H (small group (50, 3)). Then $\text{Aut } G$ (small group (80, 30)) is solvable and $\ker \Phi = (1)$. Clearly H is characteristic in G since it is the Sylow 5-subgroup. Hence $H \rightarrow G \rightarrow Q$ satisfies all the conditions of the theorem except for 3 and has $\text{Aut } G$ solvable. Hence condition 3 is not necessary.

Let Q be the small group (24, 13). Q has Sylow 2-subgroup $P = (\mathbb{Z}/2)^3$ with non-solvable automorphism group $\text{GL}_3(\mathbb{F}_2)$. Q is the split extension of $(\mathbb{Z}/2)^3$ by any element of order 3 in $\text{Aut } P$. Let $H = \mathbb{Z}/7$ and $\Phi' : G/P = \mathbb{Z}/3 \rightarrow \text{Aut } H$ be any monomorphism. Let H be the metacyclic group of order 21 and $\mathbb{Z}/7 \rightarrow Y \rightarrow Q$ the pullback of the extension $\mathbb{Z}/7 \rightarrow H \rightarrow \mathbb{Z}/3$ by the natural map $\pi : Q \rightarrow Q/P$. Then this extension has $\Phi = \Phi'\pi$ with kernel $\Phi = P$ with non-solvable automorphism group. $\mathbb{Z}/7$ is solvable and since $\text{Aut } \mathbb{Z}/7$ is abelian, $\Phi(Q) = \Phi'(\mathbb{Z}/3)$ has solvable normalizer. Also $\mathbb{Z}/7$ is characteristic in Y since it is the Sylow 7-subgroup. Therefore this extension satisfies conditions 1, 2 and 3 of the theorem but not 4. We may also consider Y as an extension of P with quotient H . If $\Psi : H \rightarrow \text{Out } P = \text{Aut } P$ is the homomorphism associated to this extension, then $H_{\text{Out } P}(\Psi H)$ is of order 6 and solvable. $\text{Aut } H$ is a solvable group of order 42. Therefore the extension $P \rightarrow Y \rightarrow H$ satisfies all the conditions of the theorem and $\text{Aut } Y$ is solvable. Therefore condition 4 of the theorem is not necessary. \square

Remark 6. (1) Since $\text{Aut}_\Phi Q \subseteq \text{Aut } Q$ we could replace conditon 4) of the above theorem by the condition $\text{Aut } Q$ be solvable. It is not at all clear if either one of these conditions implies the other.

(2) With slightly more work one can show there is an exact sequence

$$0 \rightarrow H^0(Q, A) \xrightarrow{\text{res}} H^0(\ker \Phi, A) \rightarrow K \xrightarrow{\sigma} H^1(Q, A) \xrightarrow{\text{res}} H^1(\ker \Phi, A).$$

with $A = z \ker \Phi$.

8. HERBRAND QUOTIENT

In order to obtain the exact sequence in 5.4 one starts with the exact sequence in 4.7 and then divides by the sequence

$$1 \rightarrow \mathcal{L} \rightarrow \text{Inn } G \xrightarrow{\text{res}} \mathcal{B} \rightarrow 1$$

where $\mathcal{L} \simeq (C_G H \cap \pi^{-1} zQ)/zG$. Instead consider the exact sequence and map of exact sequences

$$\begin{array}{ccccccc} 1 & \longrightarrow & \mathcal{K} & \longrightarrow & \text{Aut}_H G & \longrightarrow & \text{Inn } H \longrightarrow 1 \\ & & \downarrow a & & \downarrow b & & \downarrow c \\ 1 & \longrightarrow & \mathcal{L} & \longrightarrow & \text{Inn } G & \longrightarrow & \mathcal{B} \longrightarrow 1 \end{array}$$

where $\mathcal{K} \simeq zH/(H \cap zG) \simeq zHzG/zG$. All groups are normal subgroups and the vertical maps are monomorphisms. Dividing the exact sequence in 4.7 by these two sequences gives the following.

$$\begin{array}{ccccccc}
0 & \longrightarrow & \text{coker } a & \longrightarrow & \text{coker } b & \longrightarrow & \text{coker } c \longrightarrow 1 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & H^1(Q, zH) & \longrightarrow & \text{Out}'(G, H) & \longrightarrow & \text{Iso}_{\hat{S}} \mathbf{E} \longrightarrow 1 \\
& & \downarrow a & & \downarrow b & & \downarrow c \\
0 & \longrightarrow & \bar{H}^1(Q, zH) & \longrightarrow & \text{Out}(G, H) & \longrightarrow & \text{Iso}_{\hat{S}} \mathbf{E} \longrightarrow 1
\end{array}$$

where $\hat{S} = S / \text{Inn } H \subseteq \text{Out } H \times \text{Aut } Q$ and $\text{Out}'(G, H) = \text{Aut}(G, H) / \text{Aut}_H G$.

Theorem 8.1. *Suppose $\mathbf{E} : 1 \rightarrow H \rightarrow G \rightarrow Q \rightarrow 1$ is an extension with G is a finite group. Then*

$$|\text{Aut}(G, H)| = \frac{|H^1(Q, zH)|}{|H^0(Q, zH)| |\mathcal{O}_{\hat{S}} \mathbf{E}|} |H| |\hat{S}|$$

where $\mathcal{O}_{\hat{S}} \mathbf{E}$ is the orbit of \mathbf{E} in $\mathcal{X}_{\Phi}(Q, H)$. Moreover $|\mathcal{O}_{\hat{S}} \mathbf{E}| \leq |H^2(Q, zH)|$.

Proof. The above diagram shows $|\text{Out}(G, H)| |\text{coker } b| = |H^1(Q, zH)| |\text{Iso}_{\hat{S}} \mathbf{E}|$. Since $\text{coker } b \simeq \text{Inn } G / [\text{Aut}_H G$ and $\text{Out}(G, H) \simeq \text{Aut}(G, H) / \text{Inn } G$, we have

$$|\text{Aut}(G, H)| = |H^1(Q, zH)| |\text{Iso}_{\hat{S}} \mathbf{E}| |\text{Aut}_H G|.$$

Since $\text{Aut}_H G \simeq H / zG \cap H$, $H^0(Q, zH) = zG \cap H$ and $|\mathcal{O}_{\hat{S}} \mathbf{E}| |\text{Iso}_{\hat{S}} \mathbf{E}| = |\hat{S}|$ the result follows. Since $\mathcal{X}_{\Phi}(Q, H)$ and $H^2(Q, zH)$ are bijectively equivalent it follows $|\mathcal{O}_{\hat{S}} \mathbf{E}| \leq |H^2(Q, zH)|$. \square

The exact sequence $0 \rightarrow H^1(Q, zH) \rightarrow \text{Out}'(G, H) \rightarrow \text{Iso}_{\hat{S}} \mathbf{E} \rightarrow 1$ and the fact that $\text{Out}'(G, H) = \text{Aut}(G, H) / \text{Aut}_H G$ gives the following.

Theorem 8.2. *Suppose $\mathbf{E} : 1 \rightarrow H \rightarrow G \rightarrow Q \rightarrow 1$ is an extension. Then there exists a normal series $\text{Aut } G = A_0 \triangleright A_1 \triangleright A_2 \triangleright A_3 = (1)$ with*

$$A_2/A_3 \simeq H/H^0(Q, zH) \quad A_1/A_2 \simeq H^1(Q, zH) \quad A_0/A_1 \simeq \text{Iso}_{\hat{S}} \mathbf{E}.$$

Proof. $A_1 = \ker\{\text{Aut}(G, H) \rightarrow \text{Out}'(G, H) \rightarrow \text{Iso}_{\hat{S}} \mathbf{E}\}$ and $A_2 = \ker\{\text{Aut}(G, H) \rightarrow \text{Out}'(G, H)\} = \text{Aut}_H G$. \square

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